
Fee Schedule

Capitel C: Fees for investment services

Remark:

With respect to services that are not listed in this schedule of fees and services and that are performed on behalf of the client or in the latter's presumed interest, and which, based on the circumstances, can only be expected to be performed in return for remuneration, the Bank is free to set the amount of the fees at its own discretion (section 315 of the German Civil Code (*Bürgerliches Gesetzbuch*)).

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I. Execution of client orders for the purchase or sale of securities principal broking business)
1. Purchase and sale
1.1. Transaction fee
1.1.1. Execution in Germany

	Basic fee	Plus			
		% of price	% of nominal value	EUR/pcs.	At least EUR
Equities					
		1,00			50,00
Warrants					
		1,00			50,00
Interest-bearing securities					
below par			0,50		50,00
above par		0,50			50,00
Convertible bonds					
below par			0,50		50,00
above par		0,50			50,00
Warrant bonds					
below par			0,50		50,00
above par		0,50			50,00
Zero bonds					
			0,50		50,00
Participation certificates/participation rights					
		1,00			50,00
Investment units					
		1,00 – except for the purchase of investment units with a front-end load			
Subscription rights/partial rights/fractional equity amounts					
		1,00			

The Bank also charges an amount of EUR 8.75 per order to cover its own expenses. In addition, the Bank will charge any third-party expenses and costs incurred when orders are executed.

1.1.2. Execution abroad

	Basic fee	Plus			
		% of price	% of nominal value	EUR/pcs.	At least EUR
Equities					
		1,00			200,00
Warrants					
		1,00			200,00
Interest-bearing securities					
below par			0,50		200,00
above par		0,50			200,00
Convertible bonds					
below par			0,50		200,00
above par		0,50			200,00
Warrant bonds					
below par			0,50		200,00
above par		0,50			200,00
Zero bonds					
			0,50		200,00
Participation certificates/participation rights					
		1,00			200,00
Investment units					
		1,00 - except for the purchase of investment units with a front-end load			
Subscription rights/partial rights/fractional equity amounts					
		1,00			

The Bank also charges an amount of EUR 8.75 per order to cover its own expenses. In addition, the Bank will charge any third-party expenses and costs incurred when orders are executed.

1.2. Partial execution

If tight market conditions result in partial execution, each partial execution shall be settled as a separate order.

1. Placing pending orders

Placing a limited order	EUR 6,00
Changes to an order (e.g. changing the limit, the validity, etc.)	EUR 6,00

II. Custody service

1. Fee for securities custody

The fee will be charged annually on 31. december in arrears.

	Collective Custody		Jacket custody		Foreign securities depository	
	% of the price	EUR/pcs.	% v. of the price	EUR/pcs.	% of the price	EUR/pcs
Equities						
	0,15		0,30		0,50	
Warrants						
	0,15		0,30		0,50	
Interest-bearing securities						
	0,15		0,30		0,30	
Convertible bonds						
	0,15		0,30		0,30	
Warrant bonds						
	0,15		0,30		0,30	
Zero bonds						
	0,15		0,30		0,30	
Participation certificates/participation rights						
	0,15		0,30		0,30	
Investment units						
	0,15		0,30		0,50	
Bezugsrechte/Teilrechte						
	0,15		0,30		0,30	
Subscription rights/partial rights/fractional equity amounts						
	0,15		0,30		0,30	

Minimum price per custody	EUR 40,00 in addition MwSt.
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If a securities deposit account is closed in the course of the year, fees will be calculated on a pro rata basis.

2. Changes in capital

2.1. Exercise of subscription rights

New shares	1,00 %
Warrants, convertible bonds	1,00 %
Participation certificates	1,00 %

2.2. Deposit of reminder

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3. Exercise of option and conversion rights

Separation of warrants pursuant to client order	EUR 7,50 plus third-party expenses
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Exercise of rights from warrants	1,00 % of option price; at least EUR 50,00
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Exercise of conversion rights	1,00 % of the price; at least EUR 50,00
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4. Transfer and new entry of registered shares

(to the extent that this is not connected with a purchase transaction)

Germany	./.
Abroad	./.

5. Exchange of physical securities

Takeover offers/cash settlement/buy-back offers	0,50 % of nominal value; at least EUR 25,00
Exchange of original shares for co-ownership interests and vice versa	EUR 25,00 per transaction

6. Processing of client orders in connection with double taxation agreements

34,00 per application plus MwSt. plus 3,50 per transaction plus MwSt.; plus third-party expenses
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7. Securities deposit account statements issued at the clients request

Without calculation of value	2,50 EUR
With calculation of value	15,00 EUR

III. Services not related to securities deposit account management

1. Cashing of coupons

If the cashing agent is not the paying agent EUR 25,00

2. Redemption of securities that have fallen due

If the cashing agent is not the paying agent 0,15 %; at least EUR 25,00

3. Acceptance of securities for conversion/exchange

EUR 50,00 per class

4. Coupon renewal

Provided that the credit institution is not the exchange agent

EUR 50,00 per class

5. Checking physical securities on behalf of the client

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